

## REQUEST FOR ACCESS TO AN APPLICATION UNDER 37 CFR 1.14(e)

RECEIVED

SEP 04 2002

File Information Unit

In re Application of

Application Number

07-975750

Filed

nd 13-92

Art Unit

Examiner

Paper No. 18

Assistant Commissioner for Patents  
Washington, DC 20231

1. ☐ I hereby request access under 37 CFR 1.14(e)(2) to the application file record of the above-identified ABANDONED Application, which is not within the file jacket of a pending Continued Prosecution Application (CPA) (37 CFR 1.53(d)) and is: (CHECK ONE)

☐ (A) referred to in:United States Patent Application Publication No. 6,777,401, page \_\_\_\_\_, line \_\_\_\_\_,

United States Patent Number \_\_\_\_\_, column \_\_\_\_\_, line \_\_\_\_\_, or

an International Application which was filed on or after November 29, 2000 and which

designates the United States, WIPO Pub. No. \_\_\_\_\_, page \_\_\_\_\_, line \_\_\_\_\_.

☐ (B) referred to in an application that is open to public inspection as set forth in 37 CFR 1.11(b) or

1.14(e)(2)(i), i.e., Application No. \_\_\_\_\_, paper No. \_\_\_\_\_, page \_\_\_\_\_, line \_\_\_\_\_.

2. ☐ I hereby request access under 37 CFR 1.14(e)(1) to an application in which the applicant has filed an authorization to lay open the complete application to the public.

Henry Doong

Signature

9-4-02

Date

Henry Doong

Typed or printed name

FOR PTO USE ONLY

Approved by: SA

(initials)

Unit: \_\_\_\_\_



US006177401B1

18

(12) **United States Patent**  
**Ullrich et al.**

(10) **Patent No.: US 6,177,401 B1**  
 (45) **Date of Patent: Jan. 23, 2001**

(54) **USE OF ORGANIC COMPOUNDS FOR THE INHIBITION OF FLK-1 MEDIATED VASCULOGENESIS AND ANGIOGENESIS**

(75) **Inventors:** Axel Ullrich, München; Werner Risau, Grafelfing; Birgit Millauer, München, all of (DE)

(73) **Assignee:** Max-Planck-Gesellschaft zur Förderung der Wissenschaften, Martinsried (DE)

(\*) **Notice:** Under 35 U.S.C. 154(b), the term of this patent shall be extended for 0 days.

(21) **Appl. No.:** 08/193,829

(22) **Filed:** Feb. 9, 1994

#### Related U.S. Application Data

(63) Continuation-in-part of application No. 08/038,596, filed on Mar. 26, 1993, now abandoned, which is a continuation-in-part of application No. 07/975,750, filed on Nov. 13, 1992, now abandoned.

(51) **Int. Cl.<sup>7</sup>** ..... A61K 31/00

(52) **U.S. Cl.** ..... 514/1; 435/7.2; 436/501; 530/350; 530/399

(58) **Field of Search** ..... 536/23.5; 435/69.1, 435/172.1, 240.2, 252.3, 320.1, 325, 361, 7.2; 424/93.2; 514/44, 1; 935/32, 57, 70, 71; 436/501; 530/399, 350

(56) **References Cited**

#### U.S. PATENT DOCUMENTS

5,185,438	2/1993	Lemishka .
5,712,395	1/1998	App et al. .
5,763,441	6/1998	App et al. .
5,766,860	6/1998	Terman et al. .
5,792,771	8/1998	App et al. .
5,792,783	8/1998	Tang et al. .
5,869,742	2/1999	Köster et al. .

#### FOREIGN PATENT DOCUMENTS

WO 92/03459	3/1992	(WO) .
WO 92/14748	9/1992	(WO) .
WO 92/17486	10/1992	(WO) .
WO 94/10202	5/1994	(WO) .
WO 95/21868	8/1995	(WO) .
WO 96/20403	7/1996	(WO) .

#### OTHER PUBLICATIONS

S.H. Orkin Et Al., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy", Dec. 7, 1995.\*

H. Ueno et al., Science 252:844-848, May 10, 1991.\*

H. Ueno et al., J. Biol. Chem. 267(3):1470-1476, Jan. 25, 1992.\*

L.A. Tartaglia et al., J. Biol. Chem. 267(7), 4304-4307, Mar. 5, 1992.\*

Risau et al., 1988, "Changes in the Vascular Extracellular Matrix During Embryonic Vasculogenesis and Angiogenesis," *Development Biology* 125:441-450.

Ferrara et al., 1989, "Pituitary Follicular Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," *Biochem. Biophys. Res. Comm.* 161:851-858.

Gospodarowicz et al., 1989, "Isolation and Characterization of a Vascular Endothelial Cell Mitogen Produced by Pituitary-Derived Folliculo Stellate Cells," *Proc. Natl. Acad. Sci. USA* 86:7311-7315.

Leung et al., 1989, "Vascular Endothelial Growth Factor Is a Secreted Angiogenic Mitogen," *Science* 246:1306-1309. Conn et al., 1990, "Purification of a Glycoprotein Vascular Endothelial Cell Mitogen From a Rat Glioma-derived Cell Line," *Proc. Natl. Acad. Sci. USA* 87:1323-1327.

Ullrich et al., 1990, "Signal transduction by receptors with tyrosine kinase activity", *Cell* 61:203-212.

Ferrara et al., 1991, "The Vascular Endothelial Growth Factor Family of Polypeptides," *J. Cell Biochem.* 47:211-218.

Kashles et al., 1991, "A Dominant Negative Mutation Suppresses the Function of Normal Epidermal Growth Factor Receptors by Heterodimerization," *Mol. Cell. Biol.* 11:1454-1463.

Klagsburn et al., 1991, "Regulators of Angiogenesis" *Annu. Rev. Physiol.* 53:217-39.

Maglione et al., 1991, "Isolation of Human Placental cDNA Coding For a Protein Related to the Vascular Permeability Factor," *Proc. Natl. Acad. Sci. USA* 88:9267-9271.

Matthews et al., 1991, "A Receptor Tyrosine Kinase cDNA Isolated From a Population of Enriched Primitive Hematopoietic Cells and Exhibiting Close Genetic Linkage to c-kit," *Proc. Natl. Acad. Sci. USA* 88:9026-9030.

Mitchell et al., 1991, "Recombinant Expression and Characterization of the 121 Amino Acid Form of Vascular Endothelial Growth Factor (VEGF)," *J. Cell. Biochem., Keystone Symposia on Molecular and Cellular Biology*, Supplement 15C, Excerpt G207.

(List continued on next page.)

**Primary Examiner**—Lorraine Spector

(74) **Attorney, Agent, or Firm**—Foley & Lardner

(57) **ABSTRACT**

The present invention relates to the use of proteins, peptides and organic molecules capable of modulating Flk-1 receptor signal transduction in order to inhibit or promote angiogenesis and vasculogenesis. The invention is based, in part, on the demonstration that Flk-1 tyrosine kinase receptor expression is associated with endothelial cells and the identification of vascular endothelial growth factor (VEGF) as the high affinity ligand of Flk-1. These results indicate a major role for Flk-1 in the signaling system during vasculogenesis and angiogenesis. Engineering of host cells that express Flk-1 and the uses of expressed Flk-1 to evaluate and screen for drugs and analogs of VEGF involved in Flk-1 modulation by either agonist or antagonist activities is described.

The invention also relates to the use of FLK-1 ligands, including VEGF agonists and antagonists, in the treatment of disorders, including cancer, by modulating vasculogenesis and angiogenesis.

**16 Claims, 25 Drawing Sheets**